



3628

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/480,724
		Filing Date	January 7, 2000
		First Named Inventor	Robert Carey et al.
		Group Art Unit	3628
		Examiner Name	H. Dass
		Attorney Docket Number	12640US01
Total Number of Pages in This Submission		9	

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return receipt postcard
Remarks		The Commissioner is authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 13-0017.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Ronald E. Larson, Esq. McAndrews Held & Malloy, Ltd.	RECEIVED JAN 13 2004 GROUP 3600
Signature	<i>Ronald E. Larson</i>	
Date	January 6, 2004	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 6, 2004			
Name (Print/type)	Ronald E. Larson	Registration No. (Attorney/Agent)	24,478
Signature	<i>Ronald E. Larson</i>	Date	Jan. 6, 2004



#14cw
2-29-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 12640US01

PATENT

In the Application of:

ROBERT CAREY et al.

Serial No.: 09/480,724

Filed: January 7, 2000

For: METHOD OF SELECTING
SECURITIES FOR A PORTFOLIO

Examiner: Harish T. Dass

Group Art Unit: 3628

Confirmation No.: 4660

CERTIFICATE OF MAILING

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By: Ronald E. Larson
Ronald E. Larson
Reg. No. 24,478

AMENDMENT C

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Dear Sir:

In response to the Office Action dated October 8, 2003, kindly amend the above-identified application as follows:

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